Doc Code: AP.PRE.REQ PTO/SB/33 (07-05) Approved for use through xx/xx/200x. OMB 0651-00xx U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. Docket Number (Optional) PRE-APPEAL BRIEF REQUEST FOR REVIEW C685:56023 I hereby certify that this correspondence is being deposited with the **Application Number** Filed United States Postal Service with sufficient postage as first class mail in an envelope addressed to "Mail Stop AF, Commissioner for 09/910,510 July 19, 2001 Patents, P.O. Box 1450, Alexandria, VA 22313-1450" [37 CFR 1.8(a)] September 19, 2006 First Named Inventor Michael L. Obradovich, et al. Signature Art Unit Examiner Typed or printed Renne M. F. Wyzykowski 2141 Djenane M. Bayard name Applicant requests review of the final rejection in the above-identified application. No amendments are being filed with this request. This request is being filed with a notice of appeal. The review is requested for the reason(s) stated on the attached sheet(s). Note: No more than five (5) pages may be provided. I am the applicant/inventor. Signature assignee of record of the entire interest. Daniel M. Cavanagh See 37 CFR 3.71. Statement under 37 CFR 3.73(b) is enclosed. (Form PTO/SB/96) Typed or printed name attorney or agent of record. X 41,661 (626) 795-9900 Registration number Telephone number attorney or agent acting under 37 CFR 1.34. September 19, 2006 Registration number if acting under 37 CFR 1.34 _ Date

This collection of information is required by 35 U.S.C. 132. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11, 1.14 and 41.6. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop AF, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

NOTE: Signatures of all the inventors or assignees of record of the entire interest or their representative(s) are required.

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VA 22313-1450 on September 19, 2006.

Renne M.F. Wyzykowski

Applicant

: Michael L. Obradovich, et al.

Confirmation No. 9704

Application No. Filed

: 09/910,510

: July 19, 2001

Title

: TECHNIQUE FOR EFFECTIVE ORGANIZATION AND

COMMUNICATION OF INFORMATION

Grp./Div.

: 2141

Examiner

: Djenane M. Bayard

Customer No.

: 56317

Docket No.

: 56023/C685

ARGUMENTS ACCOMPANYING PRE-APPEAL BRIEF REQUEST FOR REVIEW

Mail Stop AF

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Post Office Box 7068 Pasadena, CA 91109-7068 September 19, 2006

Commissioner:

Applicants are filing this paper concurrently with a Pre-Appeal Brief Request for Review (form PTO/SB/33) and a Notice of Appeal. For the reasons set forth below, applicants respectfully submit that the Examiner has not established a clear prima facie case in rejecting claims 1-5 and 26-45 over Arlein in view of Sonti under 35 U.S.C. 103(a).

The claimed invention is directed to a technique for communication of information to a mobile device. In accordance with the invention, profiles of a mobile device user are established, reflecting different personae of the user, e.g., a personal profile, business profile, vacation profile, etc. A user may utilize the device to send a request for information, which may include data indicative of a selected persona of the user and a location of the device determined by the device (e.g., GPS data). Based on the received data, a server collects the requested information from different source, e.g., Internet sources. The requested information is then provided to the device, which includes information concerning at least one resource (e.g., a

Application No. 09/910,510

restaurant) in accordance with the profile associated with the selected persona. The resource is selected as a function of at least the location of the device. See page 9, line 21 et seq.; page 14, line 11 et seq. of the specification; and Figs. 4, 5 and 10.

Thus, for example, claim 1 recites a method for use in a server serving a user of a communication device, which comprises "receiving, through a communications network, a request for information concerning one or more resources, and data indicative of a selected one of the personae and a location of the communication device determined by the communication device; collecting requested information from one or more resources based on the data; and providing the requested information to the communication device, the requested information including information concerning at least one resource in accordance with a profile associated with the selected persona, the at least one resource being selected as a function of at least the location of the communication device." Claim 33 includes similar limitations.

Arlein discloses a technique for providing a user web-browsing experience at merchant's website based on a persona selected by a user. In the final Office Action, the Examiner admitted that Arlein "fails to teach receiving data indicative of a selected one form [sic] the personae and a location of the communication device determined by the communication device and the requested information including information concerning at least one resource in accordance with a profile associated with the selected persona, the at least one resource being selected as a function of at least the location of the communication device." Final Office Action at Page 3.

However, the Examiner asserted that Sonti teaches the above-quoted claim limitations. Such assertion is baseless notwithstanding the citations by the Examiner to col. 4, lines 41-65; col. 5, lines 40-45 and col. 8, lines 45-67 of Sonti. *Id.* Sonti discloses a technique allowing a user of a mobile phone to store multiple profiles in a remote home location register (HLR). Each profile contains a list of features desired by the subscriber, e.g., call waiting, long distance call blocking, etc. However, nowhere does Sonti teach or suggest that a server serving a user of a communication device receive, through a communication network, a request for information concerning one or more resources and "data indicative of [, among others,] a location of the communication device determined by the communication device," as claims 1 and 33 recite. *A fortiori*, nowhere does Sonti teach or suggest collecting information from one or more sources

Application No. 09/910,510

"based on the data" indicative of, among others, the location of the communication device

determined by the communication device, as claims 1 and 33 further recite. In addition, Sonti

fails to teach or suggest providing to the communication device the requested information

including information concerning at least one resource, which is "selected as a function of at

least the location of the communication device," as claims 1 and 33 also recite. By contrast,

Sonti discloses the following at col. 8, line 25 et seq.:

If a [mobile phone] subscriber ... wishes to select a new profile,

a simple procedure would be to dial a profile service number (PSN) followed by a personal identification number (PIN) and a new profile

number Suitable candidates for the PSN include so-called "star"

numbers such as "*611" or "1*432."

Thus, even assuming, arguendo, that a feature list in a profile in Sonti should read on the

claim term "resource", such a feature list is selected through use of the PSN, PIN and profile

number, none of which, however, is "a function of the location of the communication device," as

claims 1 and 33 require. As such, claims 1 and 33, together with their dependent claims, are

patentable over Arlein in view of Sonti.

Respectfully submitted,

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